

Appendix B - Audit Plan Monitoring 2020/21
Year end position

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Harlow and Gilston Garden Town	Chief Executive	15	Final report	■	■	■	Substantial	0	3	1
Customer Services	Business Services	12	Final report	■	■	■	Substantial	0	1	2
Governance - Qualis Group	Chief Executive	12	Final report	■	■	■	Substantial	0	2	4
Fixed Assets	Customer Services	15	Final report	■	■	■	Moderate	0	3	0
KFC: Accounts Payable	Business Services	12	Final report	■	■	■	Moderate	0	3	2
IT - active directory management	Business Services	10	Final report	■	■	■	Moderate	0	9	0
IT - storage area network	Business Services	10	Final report	■	■	■	Limited	2	3	0
IT Disaster recovery	Business Services	10	Final report	■	■	■	Limited	2	2	0
H&S compliance - council buildings and depot compliance	Housing and Property	20	In progress	■						
KFC: Payroll	Business Services	12	In progress	■						
Housing H&S – gas safety	Housing and Property	15	In progress	■						
Contract management including exit strategies	Contracts and Technical	20	In progress	■						
Business continuity: assist Council wide lessons learnt review	Business Services	10	On going	Not an audit						
Qualis Commercial	Chief Executive	15	Deferred							
KFC: Treasury Management	Business Services	12	Deferred							
TOTAL								4	25	9

Key
c/f = carried forward
H&S = Health and Safety
KFC = Key Financial Controls